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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/723,714 : Confirmation No.: 9797
Applicant : Bing Ji, et al.
Filed : November 26, 2003
For : METHOD FOR ETCHING HIGH DIELECTRIC CONSTANT MATERIALS
AND FOR CLEANING DEPOSITION CHAMBERS FOR HIGH
DIELECTRIC CONSTANT MATERIALS

Art Unit : 1763
Examiner : George A. Goudreau

Docket No. : 06299P2 USA
Customer No. : 23543

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(c)**

The information disclosure statement transmitted herewith is being filed **after** the period specified in § 1.97(b) but **before** the mailing date any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is

- ☒ a statement as specified in 35 C.F.R. 1.97(e)
or
☐ the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

Rosaleen P. Morris-Oskanian
Attorney for Applicant(s)
Registration No. 47,321

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Allentown, PA 18195-1501
(610) 481-8169



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Sir:

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This statement is being made for the Information Disclosure statement

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I, the person(s) signing below, state:

☒ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1).

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☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

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The person making this statement is the attorney/agent who signs below on the basis of the information:

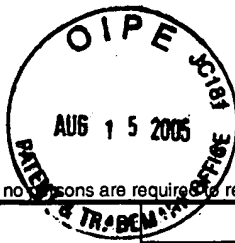
- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the attorney's file.

Respectfully submitted,



Rosaleen P. Morris-Oskanian
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Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Application Number	10/723,714
Filing Date	November 26, 2003
First Named Inventor	Bing Ji, et al.
Art Unit	1763
Examiner Name	George A. Goudreau
Attorney Docket Number	06299P2 USA

Sheet

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of

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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,637,153	06-10-1997	Niino, et al.	
		US- 2001/023744	09-27-2001	Komino Mitsuaki	
		US- 6,495,054	12-17-2002	Kazuhiro, et al.	
		US- 5,756,400	05-26-1998	Ye, et al.	
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 02/43115	05-30-2002	ASM America, Inc.		✓
		JP 2003 203907	07-18-2003	Hitachi Ltd.	Abstract	✓
		WO 98/27005 A	06-25-1998	Wakefield, et al.		✓
		WO 03/012843	02-13-2003	L'Air Liquide		✓
		EP 1 460 678 A	09-22-2004	L'Air Liquide		✓
		EP 1 382 716 A	01-21-2004	Bing Ji, et al.		✓

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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